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David R. Saliwanchik, Patent Attorney

INFORMATION DISCLOSURE STATEMENT Patent Application Docket No. GJE-73D1 Serial No. 10/667,721

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Sek Chuen Chow, David Idris Pritchard

Serial No.

10/667,721

Filed

September 22, 2003

For

Pro-Apoptotic Agents

Commissioner for Patents Mail Stop DD P.O. Box 1450 Alexandria, VA 22313

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§1.97 AND 1.98

Sir:

In accordance with 37 CFR §1.97 and §1.98(d), the applicants would like to bring to the attention of the Examiner, the references cited in the following patent application:

U.S. Serial No. 09/889,256, filed July 13, 2001

The subject application, Serial No. 10/667,721 claims the benefit under 35 USC §120 of the filing date of the above application. The applicants respectfully request that the copies of references supplied in the Information Disclosure Statement of the above-mentioned application (U.S. Serial No. 09/889,256) be made of record in the 10/667,721 application. As copies of all of the references

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filed in the parent application, and cited on the attached form PTO/SB/08, will be found in the parent casefile, copies of those references are not provided herewith.

The applicants respectfully assert that the substantive provisions of 37 CFR §§1.97 and 1.98 are met by the foregoing statement.

Respectfully submitted,

David R. Saliwanchik

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DRS/tl

Attachment: Form PTO/SB/0

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO				Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/667,721	
				Filing Date September 22,		
STATEMENT BY APPLICANT			N I	First Named Inventor	Sek Chuen Chow	
(use as many sheets as necessary)				Art Unit	Not yet assigned	
				Examiner Name	Not yet assigned	
Sheet	1	of	2	Attorney Docket Number	GJE-73D1	

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. 1	Document Number Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	U1	US- 5,866,543	02-02-1999	Vlasuk et al.	All
	U2	US- 6,007,989	12-28-1999	West et al.	All
	U3	US- 0,049,142	2001	Wagner et al.	All
	U4	US- 6,040,425	03-21-2000	DePinho	All
*	U5	US- 5,663,155	09-02-1997	McCaffrey et al.	All
	U6	US-			· · · · · · · · · · · · · · · · · · ·
	U7	US-			
	U8	US-			
	U9	US-			
	U10	US-			
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	U15	US-			
	U16	US-			
	U17	US-			
	U18	US-			·
	U19	US-			
	U20	US-			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No. 1	Foreign Patent Document  Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
	F1 F2	WO 9512615	05-11-1995	The University of Nottingham	All		
	F3						
	F5 F6						
	F7				•		
	F8 F9						
	F10						

Examiner	_ <del> </del>	Date	
Signature		Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-01)

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10/667,721

Sheet

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

First Named Inventor Sek Chuen Chow **Group Art Unit** Not yet assigned **Examiner Name** Not yet assigned

**Application Number** 

Filing Date

**Attorney Docket Number GJE-73D1** 

	NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article, (when appropriate), title of the item (book, magazine, joumal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²				
	R1	BROPHY, P.M. et al. "Gluathione S-transferase (GST) expression in the human hookworm Necator americanus: potential roles for excretory-secretory forms of GST" Acta Tropica 1995, Vol. 59, pp. 259-263.					
	R2	KALINKOVICZH, A. et al. "Decreased CD4 and increased CD8 counts with T-Cell activation is associated with chronic helminth infection" Clin. Exp. Immunology 1998, Vol. 114, No. 3, pp. 414-421.					
	R3	BEHNKE, J. et al. "Resistance of the hookworms Ancylostoma ceylanicum and Necator americanus to intestinal inflammatory responses induced by heterologous infection" Int. J. Parasitology 1994, Vol. 24, No. 1, pp. 91-101.					
	R4						
	R5						
	R6						
	R7						
	R8						
	R9						
	R10						
	R11						
	R12						
	R13						

Examiner	Date	
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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.